

i. **Basic details of the SB such as registration number, registered address of Head Office and branches if any-**

Stock Broker Name	Registration Number	Registered Address	Branch Address (if any)	Contact Number	Emailid
MULTIGAIN SECURITIES SERVICESPVTLTD	INZ000192837 IN-DP-218-2016	H50, LAJPAT NAGAR, MORADABAD – 244001	126, Pocket 1, Jasola Vihar, Delhi– 110025	0591-2490400, 2490500 011-40590515	<a href="mailto:help@multigain.in">help@multigain.in</a>

**Escalation Matrix:**

Details of	Contact Person	Address	Contact No.	Emailid
Customer care	HASHSHAM MALIK	H50, LAJPAT NAGAR,	9917462528	<a href="mailto:help@multigain.in">help@multigain.in</a>
Head of Customer care	SANJEEV VERMA	MORADABAD – 244001	9917462507	<a href="mailto:sanjeev@multigain.in">sanjeev@multigain.in</a>
Compliance Officer	KAMAL KUMAR KHANNA		9917462501	<a href="mailto:kamal@multigain.in">kamal@multigain.in</a>
CEO	KHALID ALI		9837037174	<a href="mailto:khalid@multigain.in">khalid@multigain.in</a>

ii. **Names and contact details of all Key Managerial Personnel including the Compliance Officer -**

Sr.No.	Name of the Individual	Designation	Mobile Number	Emailid
1	KHALID ALI	MD	9837037174	<a href="mailto:khalid@multigain.in">khalid@multigain.in</a>
2	NIGHAT KHALID	Director	9917462500	<a href="mailto:nighat@multigain.in">nighat@multigain.in</a>
3	FAIZAN ALI	Director	9917462513	<a href="mailto:faizan@multigain.in">faizan@multigain.in</a>
3	KAMAL KUMAR KHANNA	Compliance Officer	9917462501	<a href="mailto:kamal@multigain.in">kamal@multigain.in</a>
3	SANJEEV VERMA	Compliance Manager	9917462507	<a href="mailto:sanjeev@multigain.in">sanjeev@multigain.in</a>

i. **Step by step procedures for opening an account, filing a complaint on designated email id, and finding out the status of the complaint etc.**

a) Write up on the procedure for opening an account

- i. Client On boarding > First we have to analysis Risk Profiling , Asset Allocation /Suggestion later start Kyc Checking with cvl and E kyc and collect required self attested documents as per compliance.

- ii. KYC Creation – signed form with Pan, Aadhaar, Cancel cheque, 2 Photographs, Mobile no, Email id, nominee name, mother name, occupation, income range, marital status..etc.
- iii. also make a arrange to checkout PAN/AADHAR LINKING,SEBI Debbarid list..etc.
- iv. Application Process: Data entered according to kyc documents and after dual verification processing with Exchange and CDSL to get Demat number and ucc allotment...
- v. after that welcome letter with annexure update to client..

Write up on procedure for filing a complaint on designated email id/ Toll-free number

Client mark a complain on [grievance@multigain.in](mailto:grievance@multigain.in) or through phone call on registered office and file the complaint along with his UCC/Demat Account No/PAN, after entering the complaint register, the reference no to beprovided to client through Mail/Call.

Client may follow up the complaint as per escalation matrix <https://www.multigain.in/Static/contact.aspx>

Status of the complaint can be find out as per escalation matrix <https://www.multigain.in/Static/contact.aspx>

In absence of response/complaint not addressed to your satisfaction you may lodge a complaint with SEBI at <https://scores.gov.in/scores/welcome.html> or Exchange at [ig@nse.co.in](mailto:ig@nse.co.in) or/  
[is@bseindia.com](mailto:is@bseindia.com)

Please quote your Sevice Ticket/Complaint Ref No. while raising your complaint at SEBI SCORES/Exchange Portal.

#### i. Details of Authorized Persons

List Of Authorised Persons (AP)											
Sr · NO	Authorised Person's Name	Authorised Person Code (Exchange wise)	Constitut ion	Status	Registered Address				Terminal Details (Exchange Wise)		
				(Approved / Cancelled)	Add	City	State	Pin code	Terminal Allotted (Y/N)	No. of Terminals	
1	No Active AP									No	0

List of Authorised Persons (AP) Cancelled by Members on Account of Disciplinary Reasons				
Sr. No.	Authorised Person's Name	Status	Authorised Person Cancellation Details	
			Date	Reason
1	No AP cancelled on Disciplinary Reason	Na	Na	Na